



DIVISION OF TRANSPORTATION

Mr. Garret Silversmith, Division Director
Telephone: (505)371-8354
Email: gsilversmith@navajodot.org

FY 2016 Second Quarter Report
(January, February, March 2016)

TABLE OF CONTENTS

	<u>Page No.</u>
I. EXECUTIVE SUMMARY	2
II. CRITICAL ISSUES	5
III. PROJECT STATUS	6
IV. BUDGET STATUS	20
V. OPERATIONAL & PROCESS IMPROVEMENT INITIATIVE STATUS	21

I. EXECUTIVE SUMMARY

Final Referenced Funding Agreement Amounts for FY2016

A. The Navajo Nation's final Tribal Transportation Program shares for FY2016 are as follows:

- i. Tribal Share: \$ 52,652,697.75
- ii. 2% Planning: \$ 1,196,652.22
- iii. Bridge funds: \$ 900,000.00 (Dennehotso Bridge special award)
- iv. Sub-Total: \$ 54,749,349.97

Long Range Transportation Plan

A. The division made progress on the Long Range Transportation Plan (LRTP) this past quarter. The LRTP will be a public document that explains the nation's infrastructure development goals and how NDOT will implement them. We understand that the ability to publicly communicate the goals of the nation to our citizens and our local and regional units of government is a critical component of advancing coherent transportation development across the nation. The LRTP is the document that will allow chapters, as well as agency councils, to seek outside funding for projects with an understanding of how a proposed project will align with existing funding mechanisms and goals.

- i. LRTP Update: NDOT is working with Wilson & Company to continue the completion of the 2015 LRTP for the Navajo Nation. The LRTP is 90% complete with an extended deadline of September 2016. The LRTP first draft was submitted to Navajo Region BIA, the Federal Highways Administration (FHWA) and other state DOTs to review and make comments. The division will resubmit a second draft for review in June 2016.

BIA to NDOT Transition

- A. The division is currently continuing the transition of asserting more responsibilities and capabilities from the previous BIA-led structure.
- i. In March 2016 the division held a planning session to formulate a new division structure that would include an engineering services section and a force account. The division also considered redefining the plan of operations of existing departments to streamline project development. For example, the division doesn't have enabling legislation or a plan of operations for gravel pit operations. Per instruction from BIA HQ, NDOT will submit a letter to BIA Navajo Region to initiate the final pieces of the transition process, soon—most likely within the next quarter.
 - ii. During the planning session the division also considered what criteria the division should use to rank and order the development of future FET projects. Among NDOT staff, there is a general consensus that, due to the division's multiple funding sources, criteria must fit into the LRTP while also ensuring that high priority community projects with low Average Daily Traffic numbers also see development. The potential reorganization of the Navajo

Nation into a commissioner-style regional government system will impact the development of the criteria and its implementation. In order to limit political interference, we are continuing to develop a strategy for how and why to fund future FET projects.

DC Advocacy Trip

- A. A division delegation traveled to DC in February to advocate for a restoration of school bus route maintenance funding and to learn about what other funding and technical resources may be available to the nation for transportation infrastructure projects. Moreover, the delegation also met with FHWA and BIA officials on how to complete the BIA to NDOT transition. Among the topics discussed were issues NDOT currently has with BIA's insistence of approval and consultation when complying with certain National Environmental Policy Act (NEPA) provisions.
 - i. FHWA officials committed to investigating the NEPA matter with BIA so no further interference occurs with the Departments of Roads and Project Management's NEPA work.
 - ii. BIA officials instructed the division director how to initiate the last step of the transition: he will write a letter to the BIA indicating the nation's intention to complete the transition with a plan for assuming the BIA's current roles.
 - iii. The division delegation met with congressional delegations to find creative funding solutions using innovative technology grants, like bionic soil, and tribal colleges and university grants to address school bus route maintenance.
 - iv. The division also met with the Army Corps of Engineers to determine what services the Corps can provide to the nation in term of training and project aid. Representatives from the Corps will travel to Navajo in the 3rd quarter 2016.

NM State Fund Reversions

- A. The division traveled to the New Mexico legislature and has worked closely with OPVP executive assistant Mark Freeland to ensure few if any funds will be reverted for transportation projects for which chapters sought funding previously. We are continuing to coordinate with OPVP and local communities to protect funding from potential reversion.

Project Status Highlights

- A. Navajo Nation Road Fund Plan: The FY2015 Road Fund Plan was approved by the Resources and Development Committee (RDC) on December 11, 2015 to address road maintenance, road improvements and compliance activities. The FY2016 Road Fund Plan was submitted through the Section 164 Review process on February 22, 2016 and has completed the legislative process. Delegate Leonard Pete is the sponsor and will introduce to RDC for review and approval on April 5, 2016.
- B. Memorandum of Understanding (MOU) between the NDOT and McKinley County, NM: This MOU will continue a framework of cooperation to address transportation related issues and improvements for the mutual benefit of the residents of the

Navajo Nation within McKinley County. The MOU was submitted through the Section 164 Review process on February 25, 2016.

- C. Intergovernmental Agreement (IGA) – Attachment D between the NDOT and San Juan County, NM: This IGA will continue a framework of cooperation to address transportation improvements for the mutual benefit of the residents of the Navajo Nation County within San Juan County along the following routes: N548 – 2.1 miles of stabilized gravel in Shiprock Chapter; N362 – 6.5 miles of stabilized gravel in San Juan Chapter; and N571 – 1.6 miles of Double Penetration Chipseal surface in Gadii’ahi Chapter. The IGA was submitted through the Section 164 Review process on March 18, 2016.
- D. Tribal Transportation Program Agreement between the Navajo Nation and Federal Highway Administration (FHWA): With the passage of the Fixing America’s Surface Transportation Act (FAST Act) by President Obama on December 7, 2015, a new Program Agreement has been developed in accordance to the new Act. The agreement, when approved and executed will authorize the Navajo Nation to continue to administer the Tribal Transportation Program. The Program Agreement was submitted through the Section 164 Review Process on March 4, 2016 and was completed on March 22, 2016. The new Agreement was submitted to FHWA on March 24, 2016.
- E. N31(4)1,2&4 Navajo, NM: The project cost is \$17.5 million using the Nation’s Federal Funds and provides 5.3 miles of grade, drain, bridge and paving construction for N31 to the entrance to Assaayi Lake. NECA is on winter shutdown and is currently maintaining the detour road on N321. NECA’s contract time has expired and has requested 185-day time extension. NECA plans on resuming construction by March 14, 2016 with ultimate completion by July 2016.
- F. N9345(2)2&4 Wide Ruins, AZ: The project cost is \$6.3 million to construct 1.9 miles of grade, drain and paving near Wide Ruins, AZ. NECA is 42% complete with ongoing retaining wall work and placement of cable concrete blocks. NECA was on winter shutdown and will continue construction in March 2016 with cattle guard installation and subgrade rework on the entire roadway in preparation of placing the aggregate base course. The estimated completion date is July 20, 2016.
- G. N251(3-2)1,2&3 Tselani/Cottonwood, AZ: BIA awarded contract to Whiteriver Construction to provide and install concrete box culverts, grade, and drain construction for \$1.4 million. CBC installation was completed on January 28, 2016 and concrete placement of apron and wingwalls was completed by February 17, 2016. Project is 40% complete with completion date of April 24, 2016.
- H. N12(19-3) Tsaille, AZ & N9(12A)(12B)2&4 Pueblo Pintado, NM: Project was awarded to BIA Force Account Section to provide grade and paving construction to address bad sections of pavement along N12 and N9 for \$2.33 million. The project is currently under winter shutdown. The N12 section between Tsaille and Lukachukai has been completed but needs to be striped. Construction will resume in Spring 2016 and completed by Fall 2016.

Gravel Pits

- A. In March 2016, Wilson and Company completed the geological investigation report. According to the report only one site has the quantity and quality material source, Greasewood Springs. On March 10th NDOT met with grazing permit holders in the Greasewoods Springs area and they responded favorable to an initial verbal request for consent to withdraw and use the land. The next steps are: 1) By April 2016, obtain Chapter Resolution from Greasewood Springs Chapter for the second phase of the project (sub-surface geological investigation); 2) Beginning January to June 2016, seek additional four sites since Wheatfields/Tsaile Chapter is opposed to development and will not approve a chapter resolution for a sub-surface geological investigation. 3) Spring 2016: determine the land statuses of the proposed site; 4) Spring/Summer 2016: begin the preliminary assessments (archaeological, biological, and environmental); 5) Upon completion of the preliminary assessment, begin the Land Withdrawal Process in October 2016; and 6) Finalize and advertising the Request for Qualification (RFQ) for a contract to operate the sand and gravel pit(s) by Winter 2016/17.

II. CRITICAL ISSUES

Treasury Offset Program

- A. NDOT has not received any notices from the Bureau of the Fiscal Service or any other federal agencies or private debt collectors pertaining to federal debts or advisements of federal debts. However, a deduction was applied to our FY2015 Amendment #1 drawdown in the amount of \$240,726.17. The division has been instructed by FHWA that the Nation needs to replace the offset funds (\$240,726.17) in the Navajo Nation Tribal Transportation Program account. Proof of deposit must be submitted to FHWA. We appreciate OPVP's support in recovering all of our offset funds for our program as approved in our Referenced Funding Agreement.

One-Time \$1.2 Million Appropriation from State of Arizona

- A. A letter has been drafted for the President to submit to the Arizona Department of Transportation for the nation to receive the appropriation. The letter will begin the 164 review process subsequent to a consultation with OMB. The letter has a project listing that includes eight specific school bus routes for which NDOT will use the funds.

Department of Highway Safety

- A. Current Job Vacancies are not attracting qualified individuals to fill positions. Class Specification for employees needed in our Department does not reflect the job requirements.

Department of Project Management

- A. NDOT has made attempts to produce a "Programmatic Agreement" (PA) between Federal Highway Administration (FHWA) and the Navajo Nation since February 2013. When a federal agency provides funding to Indian tribes to undertake a federal infrastructure action on Indian lands, in this case Navajo land roads, the Indian tribe must follow section 106 of the National Historic Preservation Act and 36 CFR Part

800. In this particular instance, FHWA provides federal funds through a signed contract to the Navajo Nation to maintain and/or construct all roads on Navajo land. The PA also provides an action to consult with tribes who may have an interest in the federal undertaking on Navajo lands (e.g. Hopis interested in eagle gathering at sacred sites). A PA must be established between both government agencies before the end of the calendar year. If there is no PA, it would affect OPVP's four pillars and nine priorities by delaying infrastructure development.

- i. Solution: NDOT, the Navajo Nation HPD Tribal Historic Preservation Officer and FHWA have recently agreed to meet in May 2016 to craft a new PA. The parties intend to execute the PA by the end of the calendar year.

Department of Transportation Planning

- A. Inadequate staffing of NDOT Planning Department. Critical need to hire additional GIS Staff to adequately maintain the NDOT Inventory.
- B. Information Technology needs to be decentralized and the division needs to hire a computer operations manager. Currently, Planning Department Staff are burdened with lengthy responses and inadequate repairs to fix/correct IT issues. We request that Planning Department GIS Supervisor take over IT responsibilities of the Planning Department.
- C. Navajo Road Inventory System (NavRIS) needs to be overseen by the Planning Department. All inventory is the responsibility of the Planning Department and should be overseen and maintained by the department, not the IT Department.

III. PROJECT(S) STATUS

Department of Project Management (DPM)

- A. The department is charged to provide direct services of archaeology, environmental clearances and permitting (e.g. Environmental Assessments, biological surveys), land surveys, engineering and special FET projects. In the second quarter, DPM completed:
 - i. Thirteen (13) archaeology compliances with fourteen (14) pending archaeology reports for compliance;
 - ii. Three (3) environmental compliances with three (3) pending;
 - iii. Seven (7) survey projects;
 - iv. Four (4) Clean Water Act section 401 and 404s with five (5) low water crossing and culvert replacements finishing respective engineering designs.
- B. Under special FET projects, there are two pending significant projects:
 - i. N52 of Nahodishgish Chapter's 1.6 miles is outsourced to McKinley County for road improvement at the area. Work is anticipated to begin in June, 2016,
 - ii. N6226 of Coppermine Chapter's road project of one mile west of N20 for road improvement and the project is anticipated to begin early August, 2016.

Department of Airport Management

- A. Fuel Excise Tax Project (FET):
 - i. The Window Rock Airport Parking Lot rehabilitation is a \$396,155 project and is 50% complete. Due to an unanticipated suspension due to utility issues, the project was delayed until utility easements and contract modifications were established. NTUA addressed the gas line re-alignment and utility easement approved by Navajo Land Department. The secondary delay was due to contract/budget modification that addressed unanticipated utility issues. Modification was processed and Notices to Proceed were sent to contractors to begin work on parking lot. The Contract/Budget modification includes time extension and additional funding to address the unanticipated utility issues. The anticipated project completion: mid-summer 2016
- B. Federal Aviation Administration Projects (FAA):
 - i. The 2011 FAA Navajo Nation Airport System Master grant is a \$631,579 project and is 99% Complete. The Master Plan documents are complete and the Airport Layout Plan (ALP), which is the only document signed and approved by the Navajo Nation President and the Federal Aviation Administration (FAA), lacks one airport's FAA approval: Shiprock Airport. Shiprock Airport's Layout Plans were re-submitted to FAA with runway dimension updates, requiring an FAA reassessment before final approval. Anticipated completion: mid-spring 2016
 - ii. The 2009 FAA grant for Window Rock Airport Electrical Rehabilitation is a \$400,000 project and is 75% complete.
 - a. The electrical design is 98% complete.
 - b. The project's disadvantaged business enterprise application is 100% complete
 - c. Environmental documentation (CatEx) is 100% complete.
 Anticipated completion: late-summer 2016.
 - iii. The 2011 FAA Window Rock Airport Runway Reconstruct grant (Design Only) is a \$315,789 project and is 30% complete.
 - a. Survey field work 100% complete.
 - b. Preliminary plans and specs are in progress.
 - c. Categorical Exclusion Report is 100% Complete.
 Anticipated completion: late-summer 2016
- C. Federal Highway Administration (FHWA) Tasks:
 - i. The Department's maintenance staff have been working a diligent on maintaining a safe and operable airport by addressing airport/airfield maintenance tasks and assignments, such as repainting/repairing airfield navigable installations; monitoring/applying pavement patching to critical sections of the runways, taxiways and aprons; repairing and restoring essential electrical components and parts; repairing and restoring airfield lighting fixtures and bulbs; and airfield mowing,

herbicide application, wildlife/livestock removal and foreign object debris removal

- ii. Task Item: Ganado Airport Re-Opening was a \$57,000 project and is 50% complete.
 - a. The airport runway design is 100% complete.
 - b. The runway construction cost estimate is 100% complete.
 - c. The archaeological report complete.
 - d. The department is advocating for \$750,000 to re-shape and construct the dirt runway with either gravel or Bionic Soil Solutions.

Anticipated date, if funding were readily available: fall 2016.

- iii. Task Item: Newcomb Chapter – Helipad Design is a \$60,000 project and is 90% complete.
 - a. Planning is 100% complete
 - b. Specs are 99% complete
 - c. Design is 99% complete
 - d. Archaeological Report is 100% complete
 - e. Newcomb Chapter’s in-kind service:
 - 1. The Chapter completed the land withdrawal process (includes EA/Bio) and the Chapter submitted information to the Northern Agency RBDO.
 - 2. The Chapter presented funding request to the 2016 New Mexico legislative process. Requesting for \$220,000 for constructing the heli-pad. This request was denied.
 - f. Newcomb Helipad is bid-ready.

- iv. Task Item: Beclahbito Chapter request for Helipad Design/Development. Staff attended a Chapter Planning session in early March. Staff is awaiting Chapter resolution of support for a community heliport/stop.

D. Arizona Department of Transportation (ADOT):

- i. Tuba City Airport Runway Rehabilitation Grant is a \$2,200,000 project and is 15% complete.
 - a. Independent Fee Estimate is 100% complete.
 - b. Survey Field work is 100% complete.
 - c. Geotech is 100% complete
 - d. Design of runway is 55% complete. The anticipated complete date for this project is late summer 2018.
- ii. The ADOT Joint Projects Agreement (JPA) for Tuba City Airport runway pavement maintenance was formalized March 2016. The JPA will address Tuba City Airport runway ends at amount of \$220,000, with NDOT’s 10% match toward the project (\$22,000). ADOT will schedule the pre-bid in May 2016. Anticipated completion is fall 2018.

E. New Mexico Department of Transportation (NMDOT):

- i. Department submitted NMDOT Airport Maintenance Grant for FY 2016 consideration. Currently these Maintenance Grants are still processing through the 164-Process for execution for Shiprock and Crownpoint Airports. Each grant is for \$7,500 for maintenance consumables.
- F. Bureau of Indian Affairs (BIA):
 - i. Crownpoint Airport Lease Renewal is 100% complete. The Department is currently in process of paying the second year, per the lease term.
 - ii. Executive Order Land Lease is 50% complete. The Department met with BIA and Navajo DOJ to address and clarify the following requirements: 1) Draft Reclamation/Restoration Plan; 2) Insurances; 3) Airport Improvement Plan; 4) Archaeological and Environmental Assessment. On-going progressive meetings have been beneficial. Anticipated completion date is extended to fall 2016 due to Department's suggested edits to the Executive Order permit, requiring BIA Solicitor's office review and comment.
- G. Department's Administrative
 - i. 5-Year On-Call Professional Service Agreement: executed last quarter and currently airport improvement and regulatory compliance.
 - ii. Development and establishment of Airport Business Leasing Program; Aviation fuel operation; applying overnight/monthly aircraft/vehicle parking fees: in progress, approximately 25% complete.
 - iii. Continue identifying communities for potential heli-stop/pad development: in progress, approximately 10% complete.
- H. Airport/Airfield Maintenance Activities
 - i. Continue addressing daily/weekly airport maintenance at each airport, addressing winter/snow removal on the runways; pavement patching; fencing repair; daily mowing and drainage concerns; obstruction removal: continuous activity to ensure safe, operable airports.
 - ii. Community Outreach meetings with Chapters with airports is a successful opportunity to share and update on airport maintenance activity. Additionally, it allows cross training opportunity for chapter maintenance staff to better understand the operational safety movement on the and around an active runway/taxiway: attended chapter meetings this quarter.
- I. FAA Funded Projects
 - i. Continue submitting FAA/State Grants to address airport rehabilitation and maintenance: submitted two grants for Window Rock Airport runway/electrical improvements and one grant for Shiprock ""
- J. Crownpoint Airport Lease Renewal
 - i. Allotment hold-out payment and first year payment made.
 - ii. Executive Order Land in process (70% complete) with required documentation

Department of Highway Safety (DHS)

- A. DHS will continue with the instruction of Defensive Driving Courses for employees of the Navajo Nation and other agencies that require the course. DHS has increased the number of participants allowed in the classes locally and we have initiated classes in other locations throughout the Navajo Nation to accommodate the high volume of Navajo Nation employees.
 - i. Upcoming Schedules for Defensive Driving Courses include potential agency classes in Tuba City, Chinle, Crownpoint, Shiprock and Kayenta.
 - ii. More Defensive Driving Courses are being scheduled at the request of various departments and outside agencies.
- B. DHS will continue Child Safety initiatives: Child Car Seat Clinics, Car Seat and Seatbelt Checkpoints with Law Enforcement and Child Safety with NAVAJO NATION SAFE KIDS Campaign.
 - i. A total of 6 CPS Clinics were held throughout the Navajo Nation. Communities include: Rock Springs, NM on March 26, 2016, Pine Hill, NM on March 19, 2016, Chilchiltah-Jones Ranch, NM on February 26, 2016, Chinle Fire Department on February 24, 2016, Pinon, AZ on February 8, 2016, and Whitecone, AZ on January 29, 2016.
 - ii. Child Passenger Safety Fitting Station is currently available at our Office Building at NDOT Office Complex. Appointments are scheduled with parents every Friday and education is provided to the parents. Child Restraints are available to low-income families who need them.
- C. SAFE KIDS Navajo Nation Coalition continues with its membership recruitment in communities throughout the Navajo Nation. Targeted individuals will include: educators, first responders, health professionals, parents, etc.
 - i. 2nd Quarter Safe Kids Navajo Nation Meeting held on February 2, 2016 ~ Safe Kids Navajo Nation Strategic Plan was accepted and passed unanimously. Other topics of discussion were: 2016 Child Passenger Safety Clinic – Calendar Share, CPS Technician Listing – Update, Continuing Education Units – Its importance, Child Passenger Safety Technician’s Courses for 2016, Car Seat Distribution/Acquisition
- D. Continue Traffic Safety Presentations across the Navajo Nation in the areas of: DUI Awareness, Youth Alcohol Campaigns, Distracted Driving (No Texting & Driving), Importance of Seat Belt Usage, Bike Safety, etc. (Youth & Elder)
 - i. Community Presentations continued throughout the nation including: Teen Driving Presentations conducted at Tuba City High School on March 25, 2016. Traffic Safety Booth Set-up at Rock Springs Chapter on March 26, 2016, Rio West Mall-Gallup on March 17, 2016, Rock Springs Chapter on January 28, 2016, Twin Lakes Chapter on January 26, 2016, Mature (Senior Citizen) Driving Presentations at Casamero Lake, NM on March 30, 2016, Chinle, AZ on March 23, 2016, Pueblo Pinto, NM on March 10, 2016, Cove, AZ on February 29, 2016, Nahodishgish, NM on February 24, 2016, Many Farms, AZ on February 22, 2016, Whitehorse Lake, NM on February 16, 2016, Shiprock, NM on February 5, 2016, Indian Wells, AZ

- on January 27, 2016, Twin Lakes, NM on January 22, 2016, Teesto, AZ on January 15, 2016, Tsaille, AZ on January 13, 2016, Outreach Participation at Community Easter Health Fair at Rock Springs, NM on March 26, 2016, Child Find/Health Fair at Chilchiltah/Jones Ranch on February 26, 2016.
- E. Department of Highway Safety conducted Car Seat Surveys in January/February at the Agency Locations throughout the Navajo Nation: Fort Defiance, Crownpoint, Shiprock, Tuba City, Kayenta, Dilkon, and Chinle.
 - F. Department of Highway Safety-GIS Section collected Crash Data from the Police Districts for 2014/2015 beginning the week of March 1st, 2016. Districts include: Crownpoint, Shiprock, Tuba City, Kayenta, Dilkon, and Chinle.
 - G. Indian Health Services-TIPCAP Grant ready for utilization for 2016.
 - i. Budgets set for: Safe Kids Van Maintenance, Media/Marketing Materials, Car Seats, etc.
 - H. Continuing with our Marketing initiatives of our various Traffic Safety Campaigns. (Youth/Elder & Veterans)
 - i. Marketing Assignments include: Monthly Advertisements in the local newspapers with Safety Campaigns geared towards Safe & Sober during Super Bowl, Safe & Sober for New Year's, Love your Family and Buckle Up for Valentine's Day, Designate a Driver for St. Patrick's Day Celebration(s).
 - I. Invited to participate with the Chinle Service Unit Car Seat Project under the direction of Pediatrician Dr. Florence Wu:
 - i. Graphic Design Team of Highway Safety was utilized by the Project for design of the Billboard in Chinle. Marketing Spot was given to highlight the Division and Department of Highway Safety.
 - J. On February 9-11, 2016, Department of Highway Safety Staff completed the "Alive at 25" Instructor's Course in Phoenix, AZ. All Staff received certification and Classes for ages 15-29, with use of Alive at 25 Curriculum will begin throughout the Navajo Nation. This Course will assist in our efforts to target this specific age group about traffic safety initiatives.
 - K. On March 15, 2016, the Navajo Department of Highway Safety received the Superior Performance Award from the Arizona Chapter of the National Safety Council for its continued success of providing the Defensive Driving Courses for the Navajo Nation Employees.
 - L. Collaborative efforts with the Department of Health in its CDC Grant Application for Outreach Educational support funds for promotion of Seatbelt Awareness and Child Restraint Usage. Submission by April 8, 2016.
 - m. Collaborative efforts with the Division of Public Safety with a BIA Indian Highway Safety Grant Application for support funds for promotion of Occupant Protection and Impaired Driving Awareness. Submission by May 1, 2016.

Department of Transportation Planning

- A. Develop and review short-range and long-range transportation plans and strategies – Update the Tribal Transportation Improvement Program (TTIP) Process and implement the Navajo Nation Long-Range Transportation Plan (LRTP).
 - ii. LRTP Update: NDOT is working with Wilson & Company to continue the completion of the 2015 Long-Range Transportation Plan (LRTP) for the Navajo Nation. The LRTP is at 90% completion rate with an extended deadline of September 2016. The LRTP first draft was submitted to the Navajo Region BIA DOT, the Federal Highways Administration (FHWA), and other State DOT's received and reviewed comments. Will resubmit draft two (2) for review in June 2016.
 - iii. TTIP Update: NDOT is working with Wilson & Company in the development of an official Navajo Tribal Transportation Improvement Program process. There were two meetings to discuss and identify: the TTIP process, manual, forms and lastly, formed a TTIP Strategy & Project Development Manual Committee. The project is at 20% completion with an anticipated deadline of January 2017.
- B. Maintain the Navajo Nation Tribal Transportation Program Facility Inventory – Update the inventory of all NDOT transportation projects.
 - i. 2016 Deliverables was placed on the RDC's Agenda and approved the inventory that was submitted to BIA by March 15, 2016. The road inventory still needs a major overhaul. Implementation of hiring Geographic Information Systems (GIS) support staff is still currently necessary. With the lack of IT staff, GIS should assist our IT with IT obligations such as administrative privileges to assist the Transportation Planning staff. Principal Engineering Technicians (PET's) continuing updates on TTIP routes, Betterment routes, RMRI routes, Regional routes, In-Process routes, new routes, Deleted routes, BIA paved routes, Bus routes, and County routes. The In-Process usually had errors greater than 200 has reduced from prior years. This year's In-Process is at 160, showing that the PETs are updating sections in their respective agencies. Ms. Roy, GIS Supervisor recommended we overhaul the entire inventory, section by section. The sections should reflect RIFDS but unfortunately sections are missing in NDOT's inventory datasets. This overhaul on NDOT's inventory needs to be addressed in the upcoming budget. It's been since 2007, or eight (8) years since we had a major overhaul on the inventory. Ownership on County Routes has been changed to Tribe. The ownership correction needed to be changed since Navajo Nation allowed Counties to maintain dirt roads but NDOT maintains the ownership. This change will also be reflected toward the new formula since it only uses Tribal and BIA roads to calculate the tribe's construction funds. Therefore, by fixing the ownership code to Tribe, the Navajo Nation will hopefully get an increase in funding. GIS continues to assist the PETs on Strip Maps (SM), Location Maps (LM) and 5704 Forms, which reflect the 2016

L RTP. GIS Staff has been reduced from 4 GIS staff to 1 GIS staff. I recommend hiring temporary interns as soon as possible.

Department of Roads

A. Gravel Pits Development

- i. Geological Investigation: On Call Engineering completed geological investigations for six proposed sand and gravel pit sites. The results indicated that the Greasewood Springs site had high quality material source and therefore the site has been considered for further development.
- ii. Greasewood Springs Grazing Permit Holders: N DOT/Roads met with the Grazing Permit Holders on March 10, 2016, and provided an overview of the Sand and Gravel Pit Project and made a verbal request to obtain consent to withdraw and use the land. The Grazing Permit Holders responded favorably.
- iii. Grazing Official: Bill Spencer, Grazing Official, has been asked to provide a letter to the Navajo Land Department with Grazing Permit Holders name and contact information.
- iv. Permission to Survey: The Greasewood Chapter submitted a letter requesting Permission to Survey and waiting on a response from the Navajo Land Department.
- v. Land Withdrawal Process: N DOT drafted the Chapter Resolutions to withdraw the land and to use access road N153. The Chapter Resolutions will be finalized and forwarded to the Greasewood Springs Chapter prior to the next Chapter Planning Meeting scheduled on April 3, 2016. The Chapter Resolution for the Land Withdrawal is expected to be on the agenda and considered for approval for the next scheduled Chapter meeting on April 17, 2016.
- vi. Letter of Application: N DOT has drafted the Letter of Application to begin the Land Withdrawal Process. Once the Chapter Resolution is approved, a copy will be attached to the Letter of Application, along with a site map, and submitted to the Navajo Land Department no later than April 22, 2016.
- vii. Meeting with Bureau of Indian Affairs (BIA): N DOT will meet with BIA on March 30, 2016, to discuss the following: Usage of N153 and other access roads, usage of the water tank, which is located in the middle of the proposed sand and gravel site, access and attainment of water for actual operations, and request for technical assistance in preparing the Leasing Packet.
- viii. Schedule meetings with the NTUA, 811 and Pipeline Company to discuss options of transporting gravel over routes that cross with pipelines, utility lines and gas lines.
- ix. Archaeological Assessment and Report: An archaeological assessment has been completed, and a draft report is in place. N DOT Project Management has been tasked to research and finalize the report.

- x. Biological and Environmental Assessment: upon approval of the Permission to Survey, the environmental assessment will commence.
 - xi. Surveying: Upon approval of the Permission to Survey and environmental assessments, the surveying will commence.
 - xii. Request for Qualification (RFQ): On-Call Engineering has drafted the scope of work to contract the sand and gravel operation to a third party contractor. The RFQ has not been released yet.
 - xiii. Financial Contribution to the Navajo County Red Dog Project - The 164 Review Packet is pending NDOT Division Director's approval.
- B. Northern Agency, Betterment Projects
- i. N192, Two Grey Hills, NM; \$50,844; subgrade preparation, gravel, stabilize, riprap placement at inlet & outlet of pipes: BIA-DOT has completed construction of one culvert location and subgrade preparation at the same location. Due to the small amount of funds for this project, BIA-DOT has recommended to NDOT to place 2-inches of gravel with stabilizer at this culvert site. Additional multiple barrel culvert site has also been recommended by BIA-DOT. NDOT personnel have to consult with Two Grey Hills Chapter to get acknowledgement for this recommendation by BIA-DOT.
 - ii. N546 (approx. 2.4 mile); Shiprock, NM; \$232,194.00; subgrade preparation, gravel, and road stabilizer: Scope of Work has been finalized. Roads Department will now prepare 'Attachment E to IGA' for approval by San Juan County and Navajo Nation.
 - v. N571 (approx. 2.5 miles); Gadii'ahi, NM; \$329,255.00; road improvement: The 164 Review Packet has been submitted. San Juan County will be completing the road improvement once a check is issued, which is expected by May 2016. Work will begin Fall/2016.
 - vi. N5012 (3.4 miles); Sanostee, NM; \$593,166.00; subgrade preparation, gravel and stabilize: Will prepare Request for Proposal (RFP) for 3rd Party Contracting once the following information is received: Scope of Work, Strip Maps, Quantities and Specifications for Gravel and Stabilization, and Listing of Equipment.
 - vii. N5020 (6 miles); Red Valley, NM; \$1,300,000.00; subgrade preparation, gravel, and road stabilizer: Scope of Work has been finalized. Roads Department. will now prepare 'Attachment E to IGA' for approval by San Juan County and Navajo Nation.
 - viii. N5113; Beclabito, NM; \$94,571.00; gravel and stabilization: Will prepare Request for Proposal for 3rd Party Contracting once the following information is received: Scope of Work, Strip Maps, Quantities and Specifications for Gravel and Stabilization, and Listing of Equipment.
- C. Western Agency, Betterment Projects
- i. Little Colorado River Tribal Park (0.2 miles); design and construct an access road with turning lanes; near Cameron, AZ; \$1,121,514.00: Parks & Recreation states they will have accounting codes from Office of

Management Budget by March 18, 2016. Dibble Engineering will then begin design work to initiate Encroachment Permit application to ADOT-Flagstaff office, for turning lanes on state highway 64.

- ii. N21; Tonalea, AZ; replace signs; \$13,000: As of February 19, 2016, BIA-DOT completed the sign replacement project. The purchase order was closed out by NDOT.
- iii. N42 (10 miles); Oljato, UT; chip seal & pavement striping; \$268,486.00: San Juan County completed the construction. Payment has been submitted to San Juan County, UT.
- iv. N59; Chilchinbeto, AZ; replace signs; \$12,000: As of February 19, 2016, BIA-DOT completed the sign replacement project. The purchase order is pending close out by NDOT.
- v. N106 (0.4 mile); Kayenta, AZ; pavement overlay; \$145,000: The project was advertised and the bids will be evaluated on Tuesday, March 29, 2016. BIA Force Account will then do the construction approximately mid-May.
- vi. N128 (0.5 mile); Shonto, AZ; pavement overlay; \$150,000.00: The project was advertised and the bids will be evaluated on Tuesday, March 29, 2016. BIA Force Account will then do the construction approximately mid-May.
- vii. N591; Kayenta, AZ; culvert replacement at seven (7) locations; \$25,000: A request for quotes is currently in progress.
- viii. N6310 (0.1 mile); Navajo Mountain, AZ; \$210,000.00; bridge abutment protection repairs: The Financial Contribution for these projects have been process and payment submitted to San Juan County, UT.
- ix. N6440: Monument Valley UT; \$550,000.00; roadway and culvert construction: The project is complete. Payment has been submitted to San Juan County, UT.
- x. N6810; Tolani Lake, AZ; culvert replacement at eighteen (18) locations; \$50,000.00: A request for quotes is currently in progress.
- xi. N6910; Leupp, AZ NDOT; Department of Project Management will be outsourcing the Environmental Assessment services.

D. Central Agency, Betterment Projects

- i. N8085 Many Farms, AZ – 1 mile for gravel application. Project budget allows for gravel application only for one (1) miles. The one-mile section was selected based on the fact that there are no culverts located within the selected one (1) mile of roadway.
- ii. N4 - Received the quantities and specification for crack sealing, chip sealing, and pavement restriping. Need to prepare RFP and bid out the project.
- iii. N7 Chinle - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project. RFP has been advertised with a closing date of April 8, 2016.
- iv. N12 Lukachukai to Rock Point - Received the quantities and specification for crack sealing and pavement restriping. Need to prepare RFP and bid out the project.

- v. N13 (6) Lukachukai - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- vi. N13 (8) Lukachukai - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- vii. N25 Chinle - RFP has been advertised with a closing date of April 8, 2016.
- viii. N27 Nazlini - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- ix. N29 Blue Gap - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- x. N41 Pinon to Forest Lake - Received the quantities and specification for crack sealing and pavement restriping. Need to prepare RFP and bid out the project.
- xi. N59 Many Farms - Received the quantities and specification for crack sealing, chip sealing, and pavement restriping. Need to prepare RFP and bid out the project.
- xii. N60 Low Mountain - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- xiii. N64 Tsailie to Chinle - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- xiv. N65 Whippoorwill - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- xv. N67 Low Mountain - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- xvi. N102 Chinle Hospital - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- xvii. N136 Chinle - RFP has been advertised with a closing date of April 8, 2016.
- xviii. N251 (S) - Received the quantities and specification for pavement restriping. Need to prepare RFP and bid out the project.
- xix. N8085 (Many Farms), N8086, N8090, N8009, N8015, N8016, N8029, N8063, N8065, N8066, N8084 and N8077 (Chinle Agency) - RFP has been advertised with a closing date of April 8, 2016.
- xx. N8065 Black Mesa - A new location was selected for this project. Received the quantities and specification for the culvert installation. Will prepare RFP for advertisement.

E. Eastern Agency, Betterment Projects

- i. N485 Casamero Lake, NM - The project is 8.2 miles with the scope of work consisting of subgrade preparation, grade, drain and gravel from Casamero Lake Chapter to N48. The preliminary compliance (archaeological & biological surveys) need to be completed. The road improvement activities will be contracted to McKinley County through a Memorandum of Understanding. The project is anticipated to be completed before or by October 2016.

- ii. N7412 Breadsprings, NM (Pinetree Wash) — the project is 1.2 miles with the scope of work consisting of subgrade preparation, grade, drain, culvert installation, and gravel reapplication. The preliminary compliance (archaeological & biological surveys) need to be completed. The road improvement activities will be contracted to McKinley County through a Memorandum of Understanding. Project is anticipated to be completed before or by October 2016.
 - iii. N7128 Pueblo Pintado, NM (Phase 1)—Phase 1 of this project consists of 1.2 miles with the scope of work consisting of subgrade preparation, grade, drain and chip seal (or gravel). The permission to survey has been obtained from Eastern Agency BIA.
- F. Fort Defiance Agency, Betterment Projects
 - i. N9056 Jeddito – Gravel project with culvert installation and application of dust control product. Waiting for information from BIA to begin Request for Proposals.
 - ii. N9062 Whitecone - Received Listing of Equipment, culvert sizes and locations, Scope of Work, and Strip Maps. Waiting for information from Navajo County to being Request for Proposals.
 - iii. N9069/N9062 Teesto - Received the Materials and Equipment Listing; Scope of Work; strip map. Need quantities and specifications for the millings and asphalt binder from Navajo County.
 - iv. N9837 Dilkon - The Chapter passed a Resolution to change the Route to N9857; however, NDOT Division Director instructed to keep to the approved Project Listing. Will be responding providing a written response to the Chapter; and will request for N9837, the Scope of Work, Strip Map, quantities and specification for gravel and stabilization, and equipment listing.
- G. Northern Agency, RMRI Projects
 - i. N361 San Juan Nenahnzad - San Juan County will be doing the road improvement work. The scope of work, compliance documents, and strip maps have been provided to San Juan County; and based on the information, a cost estimated was provided. The Intergovernmental Agreement (IGA) and Attachment of work and cost have been package and submitted for 164 Review Process.
 - ii. N548 Shiprock - San Juan County will be doing the road improvement work. The scope of work, compliance documents, and strip maps have been provided to San Juan County; and based on the information, a cost estimated was provided. The Intergovernmental Agreement (IGA) and Attachment of work and cost have been package and submitted for 164 Review Process.
- H. Western Agency, RMRI Projects
 - i. N16 (approx. 9 miles); Inscription House, AZ; \$635,000.00: Drainage analysis is completed. The construction phase of this project will be bid out to a third party.

- ii. N21 (23.5 miles), Kaibeto to Coppermine, AZ; archeological study/reports; \$235,000.
 - iii. N2 Leupp - The route will be outsourced to complete the Environmental Assessment.
- I. Central Agency, RMRI Projects
 - i. Cemetery Road – This project is in Chinle Arizona, a Road Maintenance Road Improvement (RMRI) project. The local chapter requested of NDOT to upgrade the access road from the Arizona Highway 191 to the community cemetery. The project consists of subgrade preparation, grade and reshape the existing roadway, install culvert pipes for drainage, embankment to lift the finish grade approximately 12 inches -16 inches depending on the grade, place 4 inches of gravel selected by the Apache County and the Chinle Chapter. Cooperative efforts are made by various agencies to build this project, NDOT will assist with equipment and manpower.
 - ii. CR566 Many Farms - The Service Contract has been returned to NDOT by Navajo Office of Management and Budget. The Service Contract was submitted in 2015, and information became outdated. Office of Management and Budget requested NDOT to change the term dates and business unit numbers to reflect the approved FY2015 Navajo Nation Road Funding Plan and resubmit for consideration.
- J. Eastern Agency, RMRI Projects
 - i. N9/N107 (Various Routes) - Received the quantities and specification for pavement restriping. Eastern BIA Agency requested to add additional routes. Need coordinates to complete the strip maps. Request for Proposals in draft until all information are received.
 - ii. Whitehorse Lake Maintenance Yard - On Call Engineering is tasked to complete the Programming Document, Schematic Floor Plans and Elevations, Schematic Site Plans, and Final Programming Document. There is a draft Programming Document, and it is with NDOT for review and approval.
 - iii. CR8 – Chichiltah, N112 – Fort Defiance, N131 Lukachuaki, N485 - Casemero Lake, N7015 - Chichiltah, N7128 – Pueblo Pintado, N7573 - Chichiltah, N8009 - Rock Point, and N8090 - Rough Rock - The Service Contract is currently being finalized between the selected vendor, NDOT, and Navajo Department of Justice. Once the Service Contract is finalized, the packet will be submitted for 164 Review Process.
- K. Fort Defiance Agency, RMRI Projects
 - i. 9102 Skunk Springs Road - The Service Contract has been returned to NDOT by Navajo Office of Management and Budget. The Service Contract was submitted in July 2015, and information became outdated. Office of Management and Budget requested NDOT to change the term dates and business unit numbers to reflect the approved FY2015 Navajo Nation Road Funding Plan and resubmit for consideration.

- ii. Window Rock Airport - Airports Management Program Manager will meet with Bohannon Huston to evaluate vendor's modified proposal before funds are obligated.
- L. Monument Valley NR6435 Road Improvement Project begun on September 14, 2015: Project was shut down on Jan 3, 2016, due to freezing conditions. Construction started on February 15, 2016. Graveling has begun on Feb 29, 2016 and gravel completion will be done by March 31, 2016. The graveling project is for 3.5-mile road improvement funded by Parks & Recreation Department.
- M. Street Lighting – Department of Roads met with Navajo Tribal Utility Authorities in planning for traffic light inventory by location and identification. We are working with the New Mexico Department of Transportation in creating a Globalize Joint Power Agreement which will help management the operation and maintenance for street lighting in New Mexico. Presently, we have a drafted an Operational and Maintenance Manual (O&M) which will be attached to the Globalize Joint Power Agreement during submittal. The O&M that we are instituting will be a guide for the personnel who will be responsible in the operation and maintenance of the roadway lighting system which the Navajo Nation and New Mexico Department of Transportation has agreed on.
- N. Road Maintenance Office/Yards – The Department coordinating and planning the development of the Kayenta, Shiprock, Whitehorse Lake and Bread Spring locations on the proposed Road Maintenance Office/Yards. The Department met with Dibble Engineering on the programming plan and conceptual design/plan. All four site are still in planning stages. Land withdrawal are being process for final approval and the utility easements for the proposed sites are in progress as well.
- O. N545 graveling project – The Department of Roads projects to commence on the road improvement activity in mid-April. The project summary is sub grade preparation, grade and shape existing roadway, apply 4 inches of base course and apply dust control product.
- P. BIA Routes – Currently, Department of Roads is performing road maintenance services on mostly non-regional BIA Routes. This action is impacting services on the regular regional routes. BIA DOT must step up and perform its services and carry out their trust responsibility to the Navajo Nation.
- Q. Department of Roads received 30 for Road Maintenance services or related activities in form of letters, chapter resolutions and walk-ins from various chapters, communities, and agencies. The department completed and addressed 95% of requests in this reporting period.
- R. Fuel Excise Tax Maintenance activities addressed within each agency – The Department of Roads completed road maintenance services and activities by agency, miles and funding type:

FET Jan-Mar	540 Emergency Road Patrol	271 Snow and Ice Control	572 Washout Repair	611 Blading & Reshaping	621 Clean & Reshape Ditch	622 Clean Drainage Structure	640 Road Patrol	641 Litter Pick Up	643 Brush and Tree Removal	650 Sign Clean/Wash/Inspect
Northern	0	82.5	1	196.6	128.9	1	178.8	0	0	0
Western	0	0	0	20	0	0	0	0	0	2
Fort Defiance	52.2	354	0	45.6	1.2	0	101.8	0	4	6
Eastern	0	537.3	0	267.7	62	48	784.3	158.7	0	0
Central	0	0	0	0	0	0	0	0	0	0
GRAND TOTAL	52.2	973.8	1	529.9	192.1	49	1064.9	158.7	4	8

I. BUDGET STATUS

	PROGRAM	FUNDING SOURCE	# OF STAFF	FY 2016 APPROPRIATION	1st QTR PERSONNEL EXPENSE	2ND QTR PERSONNEL EXPENSE	1ST QTR OPERATING EXPENSE	2ND QTR OPERATING EXPENSE	ENCUM	BALANCE	%BAL
121001	Navajo DOT / Admin	General Fund	6	881,848.00	66,616.75	77,632.12	20,169.43	85,603.58	46,676.03	585,150.09	66%
121002	Navajo DOT / Highway Safety	General Fund	4	248,966.00	43,908.14	37,026.65	5,272.70	6,460.26	2,346.82	153,951.43	62%
121003	Navajo DOT / Airports Mgmt	General Fund	2	175,000.00	31,095.08	31,002.92	13,079.23	3,329.29	1,000.00	95,493.48	55%
506006	Administration	FET	5	664,235.00	58,102.01	58,182.07	9,066.24	23,906.91	52,294.02	462,683.75	70%
506007	Road Maintenance / Dept of Rd	FET	24	5,789,546.84	201,848.49	193,391.58	467,282.96	279,515.67	214,595.86	4,432,912.28	77%
506008	Preliminary /Dept of Project Mgr	FET	9	701,568.00	79,925.07	75,170.06	14,192.62	45,189.75	45,471.27	441,619.23	63%
506011	airports	FET	0	150,000.00			18,416.18	16,240.30	4,479.43	110,864.09	74%
			44	8,611,163.84	481,495.54	472,405.40	547,479.36	460,245.76	366,863.43	6,282,674.35	
		FUNDING SOURCE	# OF STAFF	MULTI YEAR APPROPRIATION	FY 2013 EXPENDITURE	FY 2014 EXPENDITURE	FY 2015 EXPENDITURE	FY 2016 EXPENDITURE	OPEN COMMIT	BALANCE	%BAL
K131001	Dept of NDOT / Executive	FHWA	0	4,169,409.83	195,635.88	1,121,255.91	1,764,742.80	862,019.13	16,651.07	209,105.04	5%
K131002	Dept of Roads	FHWA	0	8,606,112.96	605,770.18	3,434,967.41	3,797,873.69	219,613.72	1,313.58	546,574.38	6%
K131003	Dept of Transportation Planning	FHWA	0	3,447,104.75	372,981.02	1,353,868.55	1,287,050.74	168,184.34	0.00	265,020.10	8%
K131004	Dept of Project Management	FHWA	0	2,726,190.34	97,439.90	808,254.41	1,137,074.13	416,273.02	17,977.02	249,171.86	9%
K131005	Dept of Airport Management	FHWA	0	716,088.17	48,733.72	336,785.96	255,340.43	14,228.55	0.00	60,999.51	9%
K131006	Dept of Highway Safety	FHWA	0	407,805.33	28,896.47	163,739.21	116,092.14	56,715.60	0.00	42,361.91	10%
			0	20,072,711.38	1,349,457.17	7,218,871.45	8,358,173.93	1,737,034.36	35,941.67	1,373,232.80	
		FUNDING SOURCE	# OF STAFF	FY 2015 APPROPRIATION	FY 2015 EXPENDITURE	FY 2016 EXPENDITURE	OPEN COMMIT	BALANCE	%BAL		
K151001	Dept of NDOT / Executive	FHWA	9	3,147,300.00	167,708.67	509,734.12	1,349,420.36	1,120,436.85	36%		
K151002	Dept of Roads	FHWA	37	3,963,928.00	78,023.68	1,564,886.92	132,339.88	2,188,677.52	55%		
K151003	Dept of Transportation Planning	FHWA	14	790,008.22	27,804.03	556,030.54	11,866.53	194,307.12	25%		
K151004	Dept of Project Management	FHWA	6	1,197,131.00	0.00	358,958.82	5,750.00	832,422.18	70%		
K151005	Dept of Airport Management	FHWA	2	232,213.00	7,819.83	67,954.65	319.98	156,118.54	67%		
K151006	Dept of Highway Safety	FHWA	5	252,803.00	0.00	49,728.10	390.94	202,683.96	80%		
			73	9,583,383.22	281,356.21	3,107,293.15	1,500,087.69	4,694,646.17			
	PROJECTS	FUNDING SOURCE	# OF PROJ	TOTAL APPROPRIATION	FUND EXPENDED	ENCUMBERED	BALANCE AVAILABLE				
FET	Capital Projects	FET	198	99,624,185.30	78,148,679.62	704,657.16	20,770,848.52				
K1010001	Airport Consultant	FAA	1	300,000.00	140,738.02	167,643.72	-8,381.74				
K131007	Huerfano Bridge Project	FHWA	1	1,500,000.00	1,500,000.00		0.00				
K131008	Dennehotso Bridge Project	FHWA	1	500,000.00			500,000.00				
K141001	WR Airport Planning Grant	FAA	1	841,700.00		324,242.00	517,458.00				
K141002	WR Airport Master Plan	FAA	1	600,000.00	589,170.58	11,399.44	-570.02				
K141003	Strategic HWY Safety Plan	FHWA	1	12,500.00			12,500.00				
K141004	NDOT Equipment	FHWA	1	2,584,964.59	2,584,964.59	0.00	0.00				
K141005	HWY Safety Trac	FHWA	0	294,406.50		0.00	294,406.50				
K141006	HWY Safety Education	FHWA	1	104,004.67	4,004.34		100,000.33				
K141007	N15 Fencing	FHWA	1	2,111,102.50	75,833.07	100,190.68	1,935,078.75				
K141008	Chee Willie Drive	FHWA	1	175,425.00		150,000.00	25,425.00				
K141009	Dennehotso	FHWA	1	1,714,837.50	185,036.83	666,820.98	862,979.69				
K141010	Littlewater	FHWA	1	233,900.00	1,909.93	198,366.88	33,623.19				
K141011	NDOT Projects	FHWA	2	500,003.00	36,960.00	32,870.00	430,173.00				
K152038	Tuba City Airport	FAA	1	1,980,000.00	0.00	201,422.50	1,778,577.50				
K151007	Dennehotso Bridge	FHWA	1	4,438,578.02			4,438,578.02				
K151008	HWY Safety Education	FHWA	1	102,331.25			102,331.25				
K151009	N15 Fencing	FHWA	1	2,421,649.96			2,421,649.96				
K143046	Crystal	NM JPA	1	100,000.00			100,000.00				
K163007	Pinedale	NM JPA	1	75,000.00			75,000.00				
	TOTAL		218	120,214,588.29	83,267,296.98	2,557,613.36	34,389,677.95				

	Contract ID	FUNDING SOURCE	Contract Start	Contract End	Business Unit	Description	BUDGET	ACTUAL AMOUNT	OUTSTANDING REIMBURSEMENT DUE TO NN	STATUS OF CLOSE OUT
NAVAJO DOT CASH DEFICIT PROJECTS										
1	Agreement	HURF	6/17/03	9/5/03	K022004	RES RD PRJ NAV COUNT	79,495.00	77,661.57	18,506.25	NNDOT is working on reimbursement ; pending budget set up.
							79,495.00	77,661.57	18,506.25	
NAVAJO DOT ACTIVE PROJECTS										
3		FAA	1/1/14	9/5/15	K141002	WR Airport Master Plan	600,000.00	589,170.58	189,806.53	This Contract is still active, funds will be reimbursed when invoices are processed through NN DOF
							600,000.00	589,170.58	189,806.53	
AGENCY	NO. OF PROJECTS	BUDGET	EXPENDITURE	OPEN COMMITMENT	BALANCE					
CLOSED FUEL EXCISE TAX PROJECTS										
CHINLE AGENCY	22	5,304,282.87	5,104,602.06	0.00	199,680.81					
EASTERN NAVAJ	30	10,308,019.02	10,308,019.02	0.00	0.00					
NORTHERN	16	5,239,088.76	4,579,839.41	0.00	659,249.35					
FT. DEFIANCE	24	6,721,645.31	6,559,362.96	0.00	162,282.35					
WESTERN	21	8,863,225.66	8,853,054.47	0.00	10,171.19					
OTHERS	16	27,415,769.22	27,295,788.33	0.00	119,980.89					
TOTAL	129	63,852,030.84	62,700,666.25	0.00	1,151,364.59					
OPEN FUEL EXCISE TAX PROJECTS										
CHINLE AGENCY	4	1,606,823.00	1,068,964.13	44,890.74	492,968.13					
EASTERN NAVAJ	2	542,692.35	169,245.00	0.00	373,447.35					
NORTHERN	3	10,197,637.57	8,393,868.27	58,314.36	1,745,454.94					
FT. DEFIANCE	3	1,601,644.29	804,795.09	251,845.24	545,003.96					
WESTERN	3	1,140,854.34	369,636.48	23,425.32	747,792.54					
OTHERS	4	5,922,178.91	4,475,326.15	257,905.70	1,188,947.06					
TOTAL	19	21,011,830.46	15,281,835.12	636,381.36	5,093,613.98					
FUEL EXCISE TAX PROJECTS (13 MIL)										
CHINLE AGENCY	11	2,600,000.00	0.00	0.00	2,600,000.00					
EASTERN NAVAJ	7	2,598,000.00	166,178.25	42,534.00	2,389,287.75					
NORTHERN	6	2,600,000.00	0.00	0.00	2,600,000.00					
FT. DEFIANCE	7	2,600,000.00	0.00	0.00	2,600,000.00					
WESTERN	7	1,571,514.00	0.00	25,741.80	1,545,772.20					
OTHERS	0	0.00	0.00	0.00	0.00					
TOTAL	38	11,969,514.00	166,178.25	68,275.80	11,735,059.95					
FUEL EXCISE TAX PROJECTS 2015										
CHINLE AGENCY	1	518,886.11	0.00	0.00	518,886.11					
EASTERN NAVAJ	1	448,357.91	0.00	0.00	448,357.91					
NORTHERN	3	842,922.47	0.00	0.00	842,922.47					
OTHERS	4	980,643.51	0.00	0.00	980,643.51					
TOTAL	9	2,790,810.00	0.00	0.00	2,790,810.00					
COMPLETE FET	129	63,852,030.84	62,700,666.25	0.00	1,151,364.59					
IN PROGRESS FET	19	21,011,830.46	15,281,835.12	636,381.36	5,093,613.98					
BETTERMENT PROJECT	41	11,969,514.00	166,178.25	68,275.80	11,735,059.95					
2015 FET PROJECT	9	2,790,810	0	0	2,790,810					
GRAND TOTAL	198	99,624,185.30	78,148,679.62	704,657.16	20,770,848.52					

V. OPERATIONAL & PROCESS IMPROVEMENT INITIATIVE STATUS

Department of Airport Management

The department is working on streamlined “Grant Processing Guide” that will be located within the Navajo Nation Airport System Master Plan. This “Guide” would assist the Department to properly process an external grant through the current 164-Process.

- A. NDOT processed and executed a comprehensive Five-Year Airport On-Call Engineering, Architecture & Planning Service to address and assist in airport

maintenance and airport improvement. The on-call contract will assist the Department with the following:

- i. Airport Capital Improvement Program with FAA and ADOT/NMDOT which includes, but not limited to, JPAs, MOUs, and/or IGAs.
- ii. Processing Agency grant agreements, proper submission of invoices

Department of Project Management

- A. The department has positions advertised for one Biologist, one Engineering Technician (AutoCad), one Archaeologist and one Senior Right of Way Agent. It is the hope of this department that we will align our hiring with OPVP's Four Pillars by employing young, educated Navajos as well as veterans who are qualified for one of the advertised positions. With additional staff, Project Management's direct services will be more efficient in providing NDOT with environmental and archaeological surveys and permitting, as well as engineering services.

Department of Roads

- A. A newly hired Safety Officer for the Department of Roads is establishing a new Project Safety Plan, which is project specific. The plans will target the in line supervisor as well as the managers and project personnel. The new safety plans will provide guidance for all projects which are developed under the Department of Roads. The intent is to create a safe work environment and avoid injuries and avoid degrading the environment. Currently, we are scheduling a training for Certified Flaggers for the Department of Roads and update all field staff's records where they are lacking.